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**Sedation with volatile anesthetics in
cardiothoracic intensive care unit patients**

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ABSTRACT

Volatile anaesthetics have been reported to provide protection against myocardial ischemia and reperfusion injury. This effect has been demonstrated in experimental studies when the volatile anaesthetics were provided either before (preconditioning) or after the ischemic period (postconditioning). Clinical trials of volatile anaesthetics versus intravenous anaesthesia for coronary artery bypass grafting (CABG) have reported reduced postoperative release of troponin, a biomarker of myocardial necrosis. The most pronounced reduction was achieved when sevoflurane was administered throughout surgery. Hence, it could be of interest to investigate whether additional myocardial protection could be achieved in cardiothoracic intensive care unit (ICU) patients following CABG by prolonging administration of volatile anaesthetics to include the period of routine postoperative sedation. The AnaConDa® is an anaesthetic conserving device that enables sedation with isoflurane or sevoflurane with common ICU ventilators. This method has been demonstrated to be feasible for sedation during mechanical ventilation in the general ICU. Isoflurane has also been used clinically to provide inhaled sedation during therapeutic hypothermia following cardiac arrest, but there are no evaluations or publications of this treatment. The AnaConDa® requires scavenging of volatile anaesthetics in expired breathing air. The use of an adsorbing canister with the filtered air released in the ICU room has not been well described.

The aim of this thesis was to investigate clinical and occupational aspects of inhaled sedation with volatile anaesthetics in cardiothoracic ICU patients.

We conducted a randomized clinical trial of short-term sevoflurane sedation versus propofol following CABG. While no significant differences were found in cardiac adverse events or troponin-T values sampled 12 hours following surgery, a reduced change from pre- to postoperative troponin-T values was demonstrated in a post-hoc analysis. Wake-up times were shorter in the sevoflurane-sedated group, but did not affect ICU or hospital stay in our short-term sedation. While sevoflurane-sedated patients were able to follow verbal command earlier, memories assessed with the validated ICU-Memory Tool were similar after sedation. In a retrospective study of isoflurane sedation during therapeutic hypothermia following cardiac arrest, it appeared that the early neurologic assessment with the Glasgow Coma Scale performed within 24 hours from reaching normal body temperature was consistent with the conventional assessment following 72 hours. We conducted an observational study of occupational exposure to sevoflurane during its use to provide sedation in the ICU and demonstrated that passive scavenging with an adsorbing canister (CONTRAfluran™) provided minimal exposure to sevoflurane compared to no scavenging. Nurses in both groups had exposures below the Swedish occupational exposure limit, but only nurses working with patients without scavenging reported adverse symptoms. Smell of sevoflurane was the most frequent adverse symptom.

Keywords: sevoflurane, sedation, myocardial protection, postconditioning, occupational exposure, therapeutic hypothermia,

LIST OF PUBLICATIONS

This thesis is based on the following papers, which will be referred to by their Roman numerals as indicated below:

- I Hellström J, Öwall A, Bergström J, Sackey V P.
Cardiac outcome after sevoflurane versus propofol sedation following coronary bypass surgery: A pilot study.
Acta Anaesthesiologica Scandinavica 2011; 55:460–467
- II Hellström J, Öwall A, Sackey V P.
Wake-up times following sedation with sevoflurane versus propofol after cardiac surgery.
Scandinavian Cardiovascular Journal 2012; 46:262-268
- III Hellström J, Martling C-R, Öwall A, Sackey V P.
Inhaled isoflurane sedation during therapeutic hypothermia after cardiac arrest: A Case Series.
Critical Care Medicine 2013; 18 October, [e-pub ahead of print]
- IV Hellström J, Öwall A, Lewné M, Sackey V P. (2013)
Ambient sevoflurane concentration during inhaled sedation in the Intensive Care Unit.
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LIST OF ABBREVIATIONS

AST	Aspartate transaminase
ALP	Alkaline phosphates
ATP	Adenosine triphosphate
CABG	Coronary artery bypass grafting
CK-MB	Creatinine kinase isoenzyme MB (muscle brain)
CPB	Cardiopulmonary bypass
CRP	C-reactive protein
ECG	Electrocardiogram
ICU	Intensive care unit
LDH	Lactate dehydrogenase
NIOSH	National Institute of Safety and Health (in the United States)
NT-proBNP	N-terminal prohormone of brain natriuretic peptide
MRI	Magnetic resonance imaging
PCI	Percutaneous coronary intervention
ppm	Parts per million
OEL	Occupational exposure limit

Jan Hellström

INTRODUCTION

MYOCARDIAL ISCHEMIA AND REPERFUSION INJURY

Interruption of coronary blood flow results in ischemia and causes both reversible and irreversible myocardial injury depending on the duration of ischemia and the collateral blood flow¹. Loss of contractility may be reversible². Changes in myocardial electrophysiology may cause potentially life-threatening arrhythmias³. The irreversible cell injury is mediated via multiple pathways and mechanisms that ultimately lead to the death of cardiac myocytes. This process may be an unregulated, or partly regulated, passive event or an actively regulated chain of events leading to programmed cell death⁴ (apoptosis). Mechanisms behind cell death are complex and not fully understood. Additionally, endothelial functioning and the effects of an activated immune response may further contribute to death of myocytes^{5,6}.

Reversible injury

Cardiac myocytes are able to survive after up to 15 minutes following coronary artery occlusion⁷. Metabolism transits to anaerobic glycolysis⁸, leading to lactate production and rise of inorganic phosphates. Hence, acidosis and increased osmosis are consequences of the changed metabolism. ECG changes⁹ and impaired contractility are quickly recognized during ischemia. Post-ischemic contractile dysfunction is a reversible phenomenon called myocardial stunning². This condition may last for up to several days in experimental studies¹⁰. Its mechanisms, leading to reduced responsiveness of contractile filaments to Ca^{2+} , are not clearly understood. Alterations in Ca^{2+} homeostasis¹¹ and oxygen generated free radicals¹² have been suggested and this is supported by the finding of improved contractility by scavenging of reactive oxygen species¹³. Myofibrillar oedema may also impair normal myosin-actin interaction, resulting in a poor force generation¹⁴.

Irreversible injury

Myocardial injury becomes progressively irreversible following 15 minutes of ischemia⁷, starting at the endocardium in a wave front towards the epicardium¹⁵. Successful reperfusion therapy obviously needs to be performed quickly. Restoring conditions with reperfusion following six hours of ischemia does not provide any salvage⁷. As stated, there are a number of different pathways and mechanisms behind the cell death of myocytes⁴. The mitochondria swell, normal crista folding becomes disorganized and the most typical cause of passive cell death is disruption of myocyte cell membrane structures¹⁶. Nitric oxide is an important regulator of endothelial and myocyte functioning with contrasting effects when it fuses with super oxide anions to generate highly reactive peroxynitrites ($ONOO^-$). This reactive product can cause both passive cell death and initiate apoptosis¹⁷.

Reperfusion injury

Reperfusion can also contribute to cell injury¹⁸. The rapid restoration of extracellular osmolality may lead to intracellular hyperosmolality, causing lethal swelling of myocytes (oncosis)¹⁹. The oxidation process during reperfusion can lead to free radicals through the

formation of reactive oxygen species²⁰. This has been termed “the oxygen paradox” and is supported by studies of antioxidant reperfusion therapy providing myocardial protection²¹. The open state of the mitochondrial permeability transition pore can lead to swelling, loss of the electron transport chain and leakage of factors such as cytochrome C that can initiate apoptosis^{22, 23}. This very large conductance channel is closed during ischemia, but overload of Ca²⁺, reactive oxygen species and quick restoration of acidosis appear to contribute to its open state during early reperfusion with cell death as a potential consequence²⁴. Aggregation of immune cells, factors and cytokines during ischemia and reperfusion can further contribute to irreversible myocardial injury and impaired contractility^{25, 26}.

Endothelial injury

The endothelial cells of the capillaries react slower to ischemia and reperfusion, but can also develop dysfunction and necrosis^{5, 27}. Irreversible injuries of the capillary architecture can lead to a “no reflow” situation, preventing reperfusion^{28, 29}.

CARDIAC TROPONIN-T AS A BIOMARKER OF MYOCARDIAL NECROSIS

Cardiac troponin-T and I are structural proteins bound to the sarcomeres and also present in a small functionally free unbound cytosolic pool³⁰⁻³². Both pools are released into the bloodstream during ischemia and reperfusion, but with different kinetics³⁰. The free pool represents the very early peak. It is yet unclear whether this peak, to some extent could be part of a reversible injury³². The subsequent release appears from degradation of the contractile apparatus in necrotic myocytes. Different biomarkers of myocardial necrosis have been used clinically. While AST, LDH, myoglobin and CK-MB are less specific, cardiac troponin-T and I have nearly absolute myocardial tissue specificity and their elevation is considered a highly sensitive method to detect even microscopic zones of myocardial necrosis^{31, 33}. In comparison to the earlier biomarkers, recent generations of troponin-T and I assays now detect myocardial necrosis in a greater number of patients at an early stage^{34, 35}. The degree of troponin release further correlates to poor outcome^{36, 37}. Renal failure can prolong the clearance of troponin³⁸, but acute elevations in troponin levels still have prognostic value regardless of renal clearance³⁹. There is a good correlation between the peak of troponin release and the size of the myocardial infarction when investigated anatomically and with MRI^{40, 41}. While troponin-T only has one assay, there are several assays of troponin-I with insufficient standardization⁴². The current guidelines for rapid laboratory rule-in of a myocardial infarction include a high sensitive troponin-T or I assay sampled at arrival to the hospital and a follow-up 3-6 hours later⁴³. A value above the 99th percentile of a normal reference population (upper reference limit) is required to establish the diagnosis, together with a non-biomarker criterion. Cardiac troponin-T can be detected with high sensitivity from 3 hours with a peak at 6-8 hours, later decreasing to normal values within three weeks^{31, 34}.

CARDIAC TROPONIN-T AS BIOMARKER OF MYOCARDIAL INJURY AND PROGNOSIS IN CARDIAC SURGERY

Current guidelines state that a troponin-T or I value above 10 times the 99th percentile of the normal reference population (upper reference limit) sampled within 48 hours after CABG is indicative of a perioperative myocardial infarction in patients with normal troponin baseline before surgery⁴³. The non-biomarker criteria include changes in ECG, angiographic or imaging evidence of coronary occlusion or impaired contractility. Myocardial infarction during CABG is associated with significant morbidity and mortality and may be as frequent as in 9.8% of the patients⁴⁴. However, it is difficult to clearly define myocardial infarctions following CABG. This surgical procedure, especially with the aid of CPB, is bound to involve some myocardial necrosis which is often diffuse in its spread⁴⁵. The myocardial injury may be due to manipulation and surgical trauma, inadequate protection with cardioplegic solution during CPB, generation of reactive oxygen species and oxidative stress during CPB, reperfusion injury following CPB, or related to micro-vascular events during reperfusion⁴⁶⁻⁴⁸. Postoperative troponin release appears to be less in off-pump CABG compared to conventional CABG with CPB, but peaks as demonstrated in Figure 1 at 6-8 hours in both groups⁴⁹ (n=27 in each group). Investigations of troponin release following CABG indicate that there is good correlation between its magnitude and the risk for later adverse cardiac events⁵⁰⁻⁵⁴.

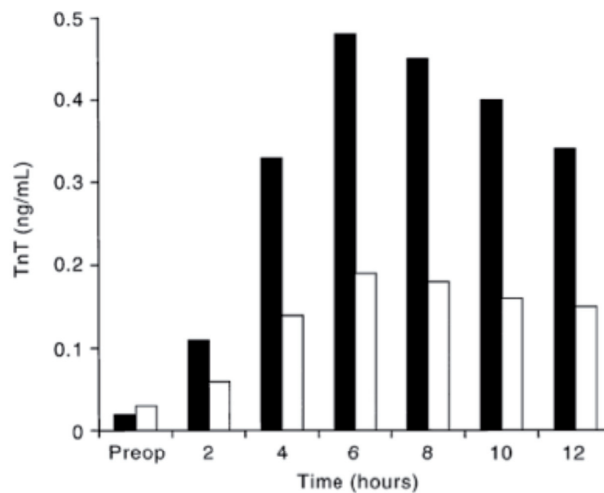


Figure 1 Mean troponin-T release following CABG with CPB

- off-pump coronary artery surgery
- conventional coronary artery graft surgery

Time, hours:	2	4	6	8	10	12
Off-pump, ng/ml:	0.06	0.14	0.19	0.18	0.16	0.15
With CPB, ng/ml:	0.11	0.33	0.48	0.45	0.4	0.34

CONDITIONING THE HEART

The meaning of “conditioning” in this context is remodelling of endogenous mechanisms to achieve protection against ischemia and reperfusion injury.

Experimentally

Murry and Jennings⁵⁵ presented a landmark study of myocardial protection in dogs by inducing short repetitive ischemic periods of five minutes before extended coronary artery occlusion of 40 minutes, an intervention that reduced infarction size by 75%. This seminal paper of ischemic preconditioning has been cited more than 4000 times and triggered a huge number of investigations. The final effector of this phenomenon is presumed to be the activation of mitochondrial ATP-sensitive K⁺ channels and there seem to be some variations between species in the composition of the different pathways targeting the final effector^{56, 57}. It is triggered by the release of substances such as adenosine, catecholamines, opioids, bradykinin and oxygen radicals that bind to receptors of G-proteins and phospholipases⁵⁸⁻⁶⁰. Stimulating these receptors leads to the epsilon isozyme of protein kinase C and many downstream messengers activating mitochondrial ATP-sensitive K⁺ channels, which in turn leads to decreased membrane potential, reduced mitochondrial Ca²⁺ uptake and less high-energy phosphate consumption⁶¹⁻⁶³. The immediate protective effect is lost if the ischemic event is longer than three hours⁵⁵. Additionally, there appears to be a delayed, longer lasting, second window of protection related to synthesis of heat shock proteins and inducible nitric oxide synthase^{64, 65}. Nitric oxide appears to be an important mediator of myocardial protection with a potential to interact on many levels^{63, 66}.

Preconditioning is of limited value in clinical settings where physicians cannot forecast the ischemic events. A more useful discovery was that of Zhao and Vinten-Johansen⁶⁷, namely that protection could also be achieved with three brief ischemic periods provided in the immediate reperfusion period after an extended coronary artery occlusion and therefore termed ischemic postconditioning. Mechanisms appear to be partially similar but not identical to ischemic preconditioning⁶⁸. Preventing the open state of the mitochondrial permeability transition pore is crucial to the protective effect^{69, 70}. This can be done pharmacologically with cyclosporine⁷¹. In this context, protection may also be provided with erythropoietin through inhibition of apoptosis in injured myocytes⁷². Myocardial remote preconditioning is another unexpected discovery first reported by Gho et al⁷³. There are different models to study this phenomenon, but it usually involves transient limb ischemia applied with a blood pressure cuff, that leads to protection against ischemia and reperfusion injury in a distant organ⁷⁴. Myocardial protection has also been demonstrated early in the reperfusion period in remote postconditioning with transient ischemia in the rat kidney⁷⁵ or in the lower limb of pigs⁷⁶. Mechanisms are not fully understood, but may involve local release of adenosine and opioids, activating neural and/or humeral pathways⁷⁷.

Clinically

Ischemic preconditioning has been studied in a surgical context, through short periods of aortic clamping before CABG, with reduced postoperative troponin release and increased level of ATP in myocytes⁷⁸⁻⁸⁰, but there are also contradictory results with this intervention in cardiac surgery⁸¹. Ischemic preconditioning with aortic clamping has moreover been investigated successfully before surgery for tetralogy of Fallot in children and in aortic valve replacement^{82, 83}. Ischemic preconditioning has further been demonstrated with inflated balloons before PCI⁸⁴ and ischemic postconditioning has been described with the same method following PCI^{85, 86}. A randomized trial

of cyclosporine A in patients presenting with myocardial infarction has attracted much attention. In this study, cyclosporine administration was associated with myocardial protection⁸⁷. Remote preconditioning has been reported in experiments of brief ischemic periods in the upper limb provided before cardiac surgery with CPB⁸⁸⁻⁹⁰. Remote postconditioning has further been described following myocardial infarction when this intervention was conducted before PCI⁹¹, but similar results were not found when this intervention was applied after PCI in another study⁹².

CONDITIONING THE HEART WITH VOLATILE ANAESTHETICS

Experimentally

The first report⁹³ of anti-ischemic effects in halothane anaesthetized dogs with attenuated ST-elevations during coronary artery occlusion in comparison to intravenous anaesthesia was published in 1977. At that time, the effect was explained by potentially favourable coronary perfusion and/or reduced myocardial oxygen demand with halothane. Subsequent studies in dogs further demonstrated reduced post-ischemic myocardial stunning with quicker contractile recovery following treatment with isoflurane⁹⁴. During 1997, three research groups described myocardial protection following coronary artery occlusion by treatment with halothane, isoflurane and enflurane in rabbits^{95, 96} and dogs⁹⁷, either when provided before or during the ischemic period. These cited studies suggested adenosine, protein kinase C and ATP-sensitive K⁺ channels to be involved in the mechanism. This protective effect was later confirmed in similar settings with more recent additions of volatile anaesthetics such as sevoflurane⁹⁸ and desflurane⁹⁹. Continued research demonstrated that activating the mitochondrial subtype of ATP-sensitive K⁺ channels may be the most important target in anaesthetic preconditioning¹⁰⁰ and that several downstream kinases and messengers are involved in this system¹⁰¹. Mechanisms resemble those reported with ischemic preconditioning. It appeared that anaesthetic preconditioning provided an immediate onset of myocardial protection lasting a few hours and a delayed, longer lasting, second window of protection with an onset after 24 hours. This later window of protection may be promoted by gene transcriptions of protective proteins^{102, 103}. Furthermore, another unexpected discovery by Chiari et al¹⁰⁴ was that postconditioning with volatile anaesthetics in the beginning of the reperfusion period accomplished myocardial protection. Mechanisms have been suggested to involve inhibition of the mitochondrial permeability transition pore¹⁰⁵⁻¹⁰⁸ or decreased activation of apoptosis¹⁰⁹. Mechanisms in this protection are reported to involve many downstream messengers and kinases¹¹⁰, often called RISK (Reperfusion Injury Salvage Kinases) and resemble those described in ischemic postconditioning. Reduced post-ischemic adhesion of inflammatory cells and platelets is another potential pathway of the protective effect by volatile anaesthetics¹¹¹⁻¹¹⁴.

Clinically

Clinicians were soon inspired by the pre-clinical findings of anaesthetic preconditioning to conduct human studies in CABG, demonstrating decreased release of postoperative biomarkers of myocardial ischemia using different perioperative treatment protocols with volatile anaesthetics compared to intravenous anaesthesia¹¹⁵⁻¹¹⁷. Meta-analyses of several performed studies in this field indicate that volatile anaesthetics in comparison to intravenous anaesthesia may reduce postoperative biomarkers of ischemia^{118, 119}. Reduced mortality following cardiac surgery has also been suggested in other meta-analyses^{120, 121}. DeHert et al¹²² reported a finding that was especially interesting; that the most pronounced reduction of postoperative troponin release was achieved when sevoflurane was administered throughout the whole CABG surgery, compared with sevoflurane during only

parts of the surgery. This finding indicated that a protocol with prolonged administration increased the protective effect of sevoflurane compared to only pre-treatment before CPB.

CONDITIONING OTHER ORGANS WITH VOLATILE ANAESTHETICS

Experimentally

In addition to the cited studies of preconditioning with volatile anaesthetics against myocardial ischemia and reperfusion injury, protective effects with anaesthetic preconditioning has also been described in the brain^{123, 124}, spinal cord¹²⁵, liver¹²⁶ and the kidney¹²⁷. Anaesthetic postconditioning has likewise been demonstrated to be protective in the brain^{128, 129}, kidney and intestine¹³⁰. Volatile anaesthetics impact on the potentially harmful effects of an activated immune system is a parallel and close research field¹³¹. Pre-treatment with volatile anaesthetics has been investigated in a lung injury model, using endotoxin lipopolysaccharide to provoke an inflammatory response. In these studies, volatile anaesthetic administration was associated with reduced injury^{132, 133}.

Clinically

There are few human studies investigating potential protective effects with volatile anaesthetics in other organs than the heart. Anaesthetic pre- and postconditioning in the liver has been demonstrated during liver surgery^{134, 135}. Anaesthetic preconditioning has moreover been reported in the kidney^{116, 136}. In one study, the mechanism was suggested to be an anti-inflammatory effect accomplished by volatile anaesthetics¹²⁷. However, renal protective effects could not be confirmed in a retrospective study of volatile anaesthesia during CABG with CPB compared to intravenous anaesthesia¹³⁷. Anti-inflammatory effects of volatile anaesthetics have been demonstrated in one-lung ventilation during pulmonary surgery¹³⁸ and following CABG with the aid of CPB¹³⁹.

MYOCARDIAL CONDITIONING WITH OTHER SEDATIVES

Experimentally

Propofol has been reported to possess protective effects against myocardial ischemia and reperfusion injury in Langendorff rat preparations¹⁴⁰. Propofol is a scavenger and is quite similar to the scavenger alfa-tocopherol (vitamin E) in its structure¹⁴¹. In experimental conditions, the concentrations required for propofol to be effective as a scavenger are normally higher than what is used in clinical anaesthesia^{142, 143}. An investigation of scavenging superoxide dismutase with propofol in comparison to sevoflurane did not reveal any differences¹⁴⁴. Propofol does not appear to have an effect on the mitochondrial ATP-sensitive K⁺ channels¹⁴⁵, but a weaker effect on the mitochondrial permeability transition pore than sevoflurane^{146, 147}. Propofol treatment is reported to modulate the immune response¹⁴⁸. In this context, propofol has been described to attenuate endotoxin lipopolysaccharide induced acute lung injury in rats compared to no treatment¹⁴⁹. This effect was greater with sevoflurane than propofol in a similar study in pigs¹⁵⁰.

Midazolam inhibits both ischemic and flumazenil- (its antidote) induced preconditioning¹⁵¹⁻¹⁵³.

Dexmedetomidine has been demonstrated to possess preconditioning effects against myocardial ischemia and reperfusion injury and it may be mediated through alfa-2 adrenergic stimulation¹⁵⁴.

Opioids have been demonstrated to provide both immediate and delayed myocardial protection involving similar mechanisms as ischemic preconditioning¹⁵⁵⁻¹⁵⁷. Delta and kappa opioid receptors can trigger second messengers activating mitochondrial ATP-sensitive

K⁺ channels^{158, 159}. Opioids also exert postconditioning effects via second messengers inhibiting the open state of the mitochondrial permeability transition pore¹⁶⁰⁻¹⁶². Opioid receptors are also suggested to be involved in remote myocardial preconditioning¹⁶³.

Ketamine, but not the ketamine S⁺ isomer, inhibits ischemic preconditioning¹⁶⁴.

Clinically

Propofol reduced postoperative troponin release following CABG when provided during the CPB period in a high dose compared to a low dose or isoflurane¹⁶⁵. Another study demonstrated scavenging effects with propofol even in normal anaesthetic doses during CABG with CPB¹⁶⁶.

Dexmedetomidine has been reported to provide myocardial protection in off-pump CABG¹⁶⁷. Another study of dexmedetomidine in CABG with CPB could not confirm myocardial protection¹⁶⁸. A questioned retrospective study has suggested reduced mortality and less delirium in the ICU with dexmedetomidine applied during CABG, including postoperative sedation^{169, 170}. Whether reduced mortality was related to less delirium or potential myocardial protective effects is unknown.

Opioids have been studied in CABG with CPB where the use of remifentanyl infusion reduced postoperative troponin release¹⁷¹, even when the remifentanyl infusion was added to normal doses of fentanyl¹⁷².

INHALED SEDATION WITH VOLATILE ANAESTHETICS IN THE ICU

Historical perspective

Early investigations of inhaled volatile anaesthetic sedation described shorter wake-up times with inhaled isoflurane sedation in the ICU^{173, 174}. Delivery of the volatile anaesthetic was dependent on anaesthesia machines for its delivery, a method that was costly and complicated, and never became much of a clinical practice of sedation. Volatile anaesthetics have been reported to reduce airway resistance¹⁷⁵ and there are numerous case-reports of its successful use in status asthmaticus described in a recent review article of this treatment¹⁷⁶. Historically, inhaled sedation with volatile anaesthetics had also been successfully used in therapy-resistant seizures^{177, 178}, tetanus¹⁷⁹ and congenital myasthenia¹⁸⁰.

AnaConDa®

The Anaesthetic Conserving Device (AnaConDa®) is a Swedish invention first described by Enlund et al in 2001¹⁸¹. It enables delivery of vaporized isoflurane or sevoflurane via a miniature evaporator rod into the breathing unit of common ICU ventilators^{182, 183}. This disposable device is placed between the Y-piece of the respiratory circuit and the endotracheal tube. It has an antimicrobial and a conserving active charcoal filter that adsorbs approximately 90 % of the volatile anaesthetic at expiration. The adsorbed anaesthetic is recycled to the patient with the next breath. The AnaConDa® has a sampling port on the outlet to enable sampling of air to a gas-analyser (see Figure 2A and B). Sackey et al¹⁸⁴ were the first to publish a proof of concept study utilizing the AnaConDa® with isoflurane to provide sedation in ICU patients¹⁸⁴. After the first publication, more studies of sedation with the AnaConDa® in ICU patients using inhaled isoflurane^{185, 186} and sevoflurane¹⁸⁷⁻¹⁸⁹ have followed.

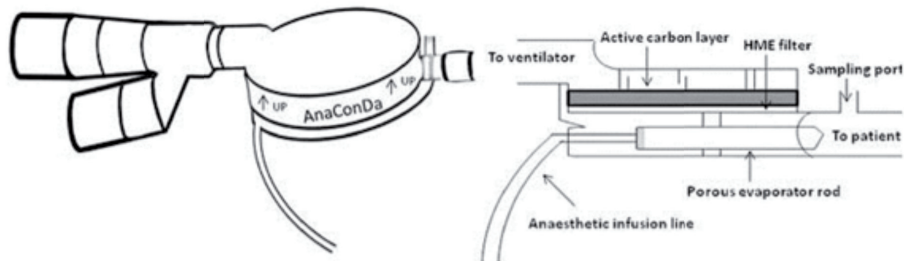


Figure 2 A The AnaConDa®

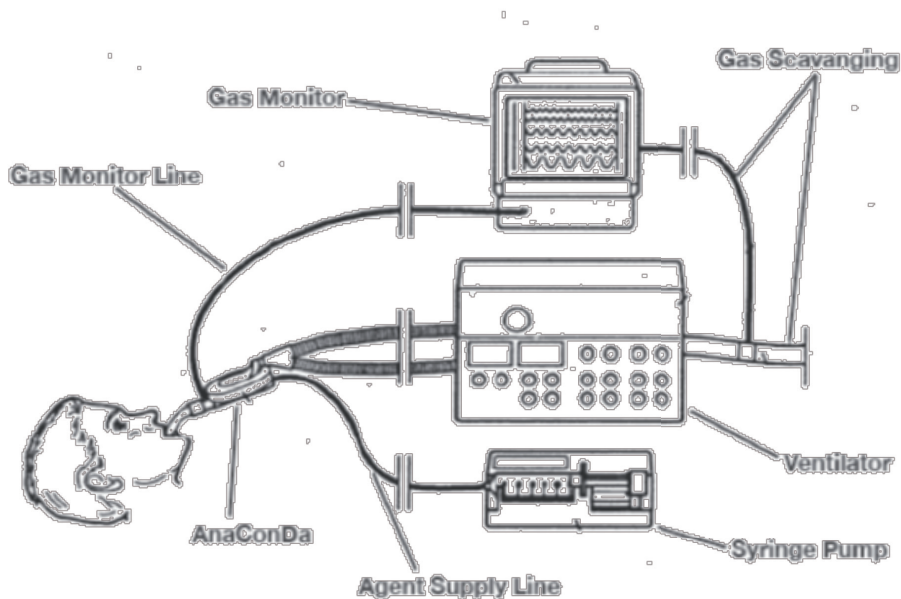


Figure 2 B Setting of inhaled sedation in the ICU

VOLATILE ANAESTHETICS AND OCCUPATIONAL HAZARDS

Concerns

Occupational exposure to trace concentrations of anaesthetic waste gases has been a concern for a long time^{190, 191}. Epidemiological studies reported higher incidence of spontaneous abortions¹⁹², infertility and congenital malformations in children of anaesthesia staff^{193, 194}. These studies were criticised for methodological problems and flaws^{195, 196} and prospective studies have not been able to confirm these concerns¹⁹⁷, except in occupational studies of nitrous oxide use in dental praxis without scavenging when staff were exposed to very high concentrations¹⁹⁸. Occupational exposure to trace concentrations of anaesthetic gases is discussed in review articles^{190, 191} and consensus in these publications is that the mentioned concerns have not been confirmed in areas where scavenging is provided¹⁹⁹.

Reports of psychomotor effects by exposure to trace concentrations of anaesthetic waste gases among anaesthesiologists was first published 1967 in a Russian study²⁰⁰; proposing fatigue, exhaustion and headache. Scavenging waste gases and rate of air changes in surgical theatres has improved since then. In the 1970's, Bruce et al²⁰¹ performed experimental studies in volunteers indicating impaired performance in psychological tests by exposure to trace concentrations of enflurane and halothane in an air mix with nitrous oxide²⁰¹. Bruce et al²⁰² were able to detect this effect in as low concentrations as 50 ppm of nitrous oxide and 1 ppm of halothane, but not in lower concentrations. This finding could not be reproduced in other studies using the same gas mix even in higher concentrations²⁰³⁻²⁰⁵. Furthermore, an occupational exposure study in surgical theatres with scavenging could not confirm decreased performance when the ambient air had trace concentrations of 48 ppm nitrous oxide and 1.4 ppm halothane²⁰⁶. More recent occupational studies by Lucchini et al^{207, 208} with isoflurane and enflurane provided dual findings, both suggesting and contradicting these concerns. There are no published studies of sevoflurane. In the United States, NIOSH guideline²⁰⁹ from 1977 regarding OEL is to a large extent based on the findings of Bruce et al^{201, 202}. With this stated, data from other published studies give at hand that impaired psychomotor performance would require much higher concentrations of nitrous oxide and/or volatile anaesthetics^{191, 210} and the threshold concentration of sevoflurane to cause psychomotor effects is yet to be determined.

Long- and short-term limits

NIOSH recommends scavenging in all areas where inhalational anaesthetics are used²⁰⁹. This recommendation includes a long-term OEL of two ppm of any volatile anaesthetics, over an eight-hour work shift with lunch break. The short-term OEL is six ppm, permitted for 15 minutes per hour. There are different recommendations within Europe. The European Community has yet to determine a general recommendation and therefore refers to each member's own legislation. The Swedish Work Environment Authorities has a long-term OEL of 10 ppm and a short-limit of 20 ppm²¹¹.

SCAVENGING VOLATILE ANAESTHETICS IN THE ICU

Scavenging should, as cited above be applied when volatile anaesthetics are used for sedation in the ICU. With this stated, there are studies of isoflurane and sevoflurane sedation in the ICU without scavenging – only relying on room air changes – where exposures levels never rose above the Swedish long-term OEL^{212, 213}.

When using volatile anaesthetics for sedation, expired air from the ventilator and gas-analyser need to be connected to a scavenging system to minimize contamination of the ambient air. There are two ways of providing this, namely either using an active suction scavenging to the hospital gas waste system or passive scavenging with an adsorbing filter in a canister (see Figure 3A and B). Nurses exposure to volatile anaesthetics in the ICU has been sampled with dosimeters during isoflurane sedation²¹² (exposure range between 0.06-0.16 ppm) and sevoflurane sedation¹⁸⁷ (exposure mean was 0.23 ppm +/-0.29) applying active suction scavenging to the hospital gas waste system. Commercially available canisters with gas adsorbing filters are an alternative. This method of scavenging has the advantage of not depending of the presence of on an active suction to the hospital gas waste system (but could of course be connected), so the filtered air can be released in the ICU room. Air passes passively through the canister where the volatile anaesthetic

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is adsorbed. There are no published scientific studies of these systems with the filtered air released in the ICU room. A Canadian study combined the adsorbing canister and the active suction system to provide scavenging in the ICU²¹⁴, with the expected finding of low ambient sevoflurane concentrations. A closed suction system is recommended by the manufacturer of the AnaConDa®, but its use and scavenging from the tracheal suction air outlet has not been studied or described in above cited publications.

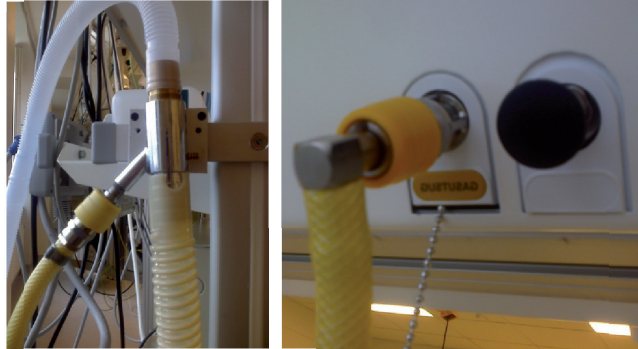


Figure 3 A. Active suctioning scavenging



Figure 3 B. CONTRAfluran™ scavenging connected to the ventilator

CHARACTERISTICS OF SEDATIVE DRUGS TO FACILITATE MECHANICAL VENTILATION

Sedative drug options

The main reason to utilize intravenous sedative drugs in the ICU is to relieve discomfort during mechanical ventilation of intubated patients. Limited options of sedative drugs are available to provide sedation during mechanical ventilation^{215, 216}, besides off-label inhaled sedation with volatile anaesthetics. Propofol and benzodiazepines (midazolam and lorazepam) are unquestionably the most studied and frequently used alternatives. There are now also quite a few studies of dexmedetomidine to provide sedation in the ICU²¹⁷⁻²¹⁹. Ketamine sedation in the ICU is not well studied. Remifentanyl is a short-acting opioid analgesic frequently used in combination with midazolam or propofol sedation to replace longer acting opioids^{218, 220}. This regimen may reduce the sedative dose and shorten wake-up times. There are few studies of remifentanyl alone (analgo-sedation) to relieve discomfort during mechanical ventilation²²¹.

Effects on the cardiovascular system

Hypotension is a common consequence of intravenous sedative drugs. This may be related to vasodilation, reduced contractility or bradycardia. Hypotension appears to be more pronounced with propofol, volatile anaesthetics, dexmedetomidine and remifentanyl^{219, 222-224}, and bradycardia appears to be more frequent with remifentanyl, dexmedetomidine and propofol²²⁵⁻²²⁷. Sevoflurane is reported to have a similar hemodynamic profile as remifentanyl/propofol sedation¹⁸⁷, although heart rate was reported to be higher with sevoflurane. The cardiovascular effect of sevoflurane and isoflurane appears to be similar²²⁸. Dexmedetomidine is reported to have similar hemodynamic properties as propofol sedation²¹⁸, but heart rate was described as being lower with dexmedetomidine. Although this drug is associated with pronounced hypotension, rebound hypertension has been described following discontinuation. Hypotension appears to be less with midazolam and ketamine²²⁹⁻²³¹. Ketamine may even possess inotropic effects and heart rate is often increased²³²⁻²³⁴. There are case reports with severe bradycardia with dexmedetomidine and remifentanyl^{235, 236}. The rare “propofol infusion syndrome” can lead to cardiogenic shock with impaired contractility and lactate acidosis and sudden death, associated with higher propofol doses, long-term sedation and catecholamine use²³⁷.

Wake-up times and recovery qualities following sedation

Continuous infusion of sedative drugs during mechanical ventilation has been associated with prolonged mechanical ventilation^{238, 239} and led to interest in daily interruption of sedative drugs²⁴⁰. In this context, midazolam is well known to have longer wake-up times than propofol²³⁸. The strategy of daily interruption of intravenous sedative infusions was means of avoiding over-sedation²⁴⁰. Daily “wake-up” was not associated with increased psychological consequences such as posttraumatic stress disorder²⁴¹, although less fluctuation in the sedation level has been proposed to reduce this disorder²⁴². Recent studies suggest similar or improved outcome by only applying a better clinical protocol to titrate the intravenous sedative drug^{243, 244} or even by applying a protocol of no sedation²⁴⁵. Newer drugs such as remifentanyl and dexmedetomidine may have advantages in this context. The latter is reported to cause less delirium than previous standard of care sedatives²⁴⁶ and both these options may contribute to shorter wake-up times compared to continuous infusion of midazolam^{217, 220}. Wake-up times following dexmedetomidine sedation seemed to be similar to propofol in one study²⁴⁷. Prior to the studies in the thesis, isoflurane and desflurane sedation had been studied in ICU patients, with regard to wake-up times. These studies indicated that isoflurane sedation of critically ill patients led to shorter wake-up times than midazolam¹⁸⁴,

and that desflurane was associated with slightly shorter wake-up times in postoperative patients than propofol²⁴⁸. It is likely that the airway route of volatile anaesthetics elimination - being independent of renal and hepatic function - contributes to shorter wake-up times following sedation compared to conventional intravenous sedative drugs.

There has been an interest in the memory panorama following sedation. Delusional memories have been associated with the development of posttraumatic stress disorder²⁴⁹. Clear memories in the early period following sedation may be important to reduce posttraumatic stress disorder²⁵⁰. Withdrawal symptoms following sedation are more frequently reported with remifentanyl and midazolam infusions^{251, 252}. Opioid tolerance has been reported following short-term use of remifentanyl infusion, contributing to increased postoperative pain and hyperalgesia^{253, 254}. The latter adverse effects may be prevented with small doses of ketamine²⁵⁵. Midazolam sedation seems to affect memory more than propofol²⁵⁶. There is some evidence that delusional memories following long-term sedation may be less frequent with volatile anaesthetics compared to propofol or midazolam^{257, 258}. Moreover, high doses of propofol have been associated with more delusional memories than lower doses²⁵⁹. Cognitive effects of ICU sedation are sparsely studied. Studies of general anaesthesia versus regional anaesthesia for surgical operations have not confirmed any differences in postoperative cognitive performance^{260, 261}, and there are no substantial differences between volatile anaesthesia and intravenous anaesthesia²⁶². Nevertheless, sevoflurane anaesthesia in CABG with CPB appeared to provide better cognitive outcome compared to intravenous anaesthesia²⁶³. Findings from these cited studies are difficult to interpret in a setting of ICU sedation. The trauma necessitating ICU stay may in itself be a potential factor affecting cognitive performance.

SEDATION DURING THERAPEUTIC HYPOTHERMIA FOLLOWING CARDIAC ARREST

Therapeutic hypothermia following cardiac arrest was a standard treatment during the thesis²⁶⁴, but has very recently been challenged with a protocol of 36 degree Celsius²⁶⁵. Residual sedation is acknowledged to contribute to confounding effects in the early neurological assessment after hypothermia^{266, 267}. Hypothermia reduces drug metabolism and may contribute to drug accumulation²⁶⁸⁻²⁷². Post-ischemic multi-organ dysfunction may further contribute to impaired metabolism. Seizures are frequent in this patient group and may clinically require higher doses of sedatives and/or benzodiazepines such as clonazepam. Considering inhaled sedation, isoflurane may be a better alternative than sevoflurane in patients with seizures²⁷³. Blood concentrations of intravenous sedatives are not measured clinically and it is difficult to judge when patients are free from lingering sedation. Intravenous sedation with propofol and remifentanyl may be good options due to relatively short action²⁷⁴. Following the introduction of hypothermia treatment, it has been recommended to wait for at least 72 hours after regaining normal body temperature to conduct reliable neurologic assessments including neurophysiological objective methods^{275, 276}. The risk of confounding from residual sedation is one reason for this late time point of neurological assessment. It appears that Glasgow Coma Scale scoring²⁷⁷ has better predictability of good outcome at 72 hours²⁷⁸, although scores were of predictable value already following 48 hours in a retrospective study conducted before the era of hypothermia²⁷⁹.

AIMS

The principal objective in this thesis was to investigate clinical and occupational aspects of volatile anaesthetic sedation provided via the AnaConDa® in cardiothoracic ICU patients.

The specific aims were:

1. To compare biomarkers of myocardial damage and adverse cardiac events following CABG in patients postoperatively sedated with inhaled sevoflurane or intravenous propofol.
2. To compare recovery, ICU memories and length of ICU/hospital stay between patients sedated with sevoflurane or intravenous propofol following CABG.
3. To study early neurological assessment and outcome in patients treated with isoflurane sedation during therapeutic hypothermia following cardiac arrest.
4. To investigate occupational exposure to sevoflurane during its administration via the AnaConDa® to provide sedation in the ICU with or without passive scavenging.

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ETHICS

The studies were performed in accordance with the declaration of Helsinki. The Regional Ethical Review Board of Stockholm, Sweden, approved all studies (I-IV). Patients in study I-II and IV gave written informed consent to be included. The Regional Ethical Review board waived the need to obtain consent from relatives to dead patients and surviving patients/relatives gave consent to be included in the retrospective study III. The prospective studies I-II and IV were approved by The Swedish Medical Products Agency. Nursing staff gave consent to participate in study IV and pregnant nurses were excluded.

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MATERIAL AND METHODS

PATIENTS

Paper I-II

In total, 100 patients scheduled to undergo elective or subacute CABG with the aid of CPB were enrolled in a prospective non-blinded randomized study of routine postoperative sedation during mechanical ventilation in the cardiothoracic ICU until criteria to extubate were met.

Paper III

In total, 12 resuscitated patients treated with inhaled isoflurane sedation during therapeutic hypothermia in the general ICU between the years 2008-2011 were identified. These patients were all included in a retrospective chart study to yield a case series of the treatment and outcome.

Paper IV

In total, 10 patients were enrolled in a non-blinded prospective randomized observational study of ambient air concentrations and occupational exposure to sevoflurane in the ICU with different means of scavenging the expired breathing air during inhaled sevoflurane sedation via the AnaConDa® following CABG.

INTERVENTIONS

Paper I-II

Perioperative anaesthesia was provided according to the local clinical protocol, including sevoflurane throughout CABG, except during the CPB period when intravenous propofol infusion was used. Randomization took place in the end of surgery through the selection of a sealed envelope by an ICU nurse in the ICU not participating in the treatment.

Patients were randomized to either receive inhaled sevoflurane (Sevorane®, Abbott Scandinavia AB, Solna, Sweden) with the anaesthetic conserving device (AnaConDa®, Sedana Medical AB, Uppsala, Sweden), or conventional intravenous propofol (Propofol 20mg/ml, Braun Medical AB, Danderyd, Sweden) sedation during routine delayed extubation in the ICU.

The intervention started upon arrival to the ICU. Patients randomized to sevoflurane received inhaled sedation via the AnaConDa® (see introduction, subsection “AnaConDa®”), aiming for a desired end-tidal concentration of 0.5-1%. The initial infusion rate was prescribed from a nomogram based on minute ventilation with adjustments of 10-20% of the infusion rate, aiming for the target end-tidal concentration and a Motor Activity Assessment Scale score²⁸⁰ of 2-3 for a minimum of two hours and thereafter until defined criteria for extubation were met. These criteria included acceptable blood gases with an inspired oxygen fraction of no more than 0.4 and a positive end-expiratory pressure of no more than 5 cmH₂O, body temperature ≥ 36.5 degrees Celsius and bleeding in drains ≤ 100 ml/hour for two consecutive hours.

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Patients randomized to receive propofol were sedated with continuous infusion, starting at a rate of 2 mg/kg/hour and adjusted to reach the same sedation level as in the sevoflurane-sedated group.

After the minimum postoperative sedation and observation, the AnaConDa® was removed or the propofol infusion stopped once extubation criteria were met. Patients were extubated provided they had a spontaneous respiratory rate of 10 per minute, tidal volume of 5 ml/kg and were able to open their eyes and squeeze their hand on verbal demand.

Paper III

This was a retrospective chart review and thus the treatment with isoflurane was not part of a research protocol. Patients had independently all been treated - off label - with inhaled isoflurane sedation during therapeutic hypothermia following cardiac arrest, according to the General ICU protocol for sedation options during this treatment.

Paper IV

These patients were treated with sevoflurane via the AnaConDa® as described in paper I-II, but in a designated single ICU room with six air changes per hour. This was an observational study of occupational exposure to sevoflurane and patients were randomised in the end of surgery to a non-blinded trial of different scavenging with a closed envelope drawn by a nurse who did not participate in the treatment. Randomisation led to either no scavenging of volatile anaesthetics or scavenging from all breathing air outlets to an adsorbing cylinder/canister (CONTRAfluran™, ZeoSys GmbH, Berlin, Germany). The expired breathing air passes through the canister passively with a low flow resistance and the volatile anaesthetic is adsorbed selectively before filtered air is released in the ICU room. A closed suctioning system (AirLife™ and Verso™ airway access adapter, CareFusion, Yorba Linda, USA) (see Figure 4 A) with scavenging of volatile anaesthetics in air from the suction air outlet was used in the scavenging group (see Figure 4 B).

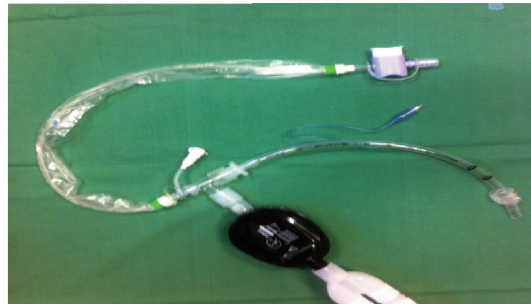


Figure 4 A Closed suction system

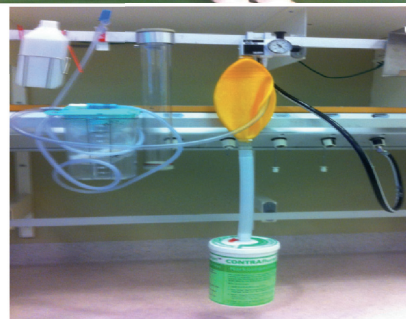


Figure 4 B Scavenging connected to the suction air outlet

MEASUREMENTS AND DATA COLLECTION

Paper I

The primary endpoint was a third generation high sensitive cardiac troponin-T assay (Roche Diagnostics AB, Bromma, Sweden) with sampling at 12 hours following arrival to the ICU. Secondary endpoints were cardiac adverse events; defined as any of the following: ventricular arrhythmias and cardiac arrest, atrial fibrillation or flutter, need of vasopressor/inotropic drugs, mechanical circulatory support or death within 30 days.

Secondary laboratory endpoints were CRP, AST, ALT, NT-proBNP, cystatin-C and creatinine.

Hemodynamic parameters were monitored per clinical routine, including five-lead ECG, invasive arterial and central venous line.

Paper II

The primary endpoint was time from sedative drug stop to extubation. Secondary endpoints were time to follow verbal command (providing social security number), minor adverse events (nausea, pain, agitation etc.), and adverse memories from the ICU, ICU and hospital length of stay. Technical problems with the AnaConDa® were noted. The ICU Memory Tool^{281, 282} was followed up before discharge from hospital. The ICU Memory Tool is a test of memories from the ICU stay. These memories are classified into factual memories (real memories), memories of unpleasant feelings and delusional memories (hallucinations, paranoia, nightmares etc.)

Paper III

This was a retrospective study and data was collected from ICU monitoring via the patient data management system, hospital charts, emergency service charts and national death index. We searched for all relevant data regarding the medical background, resuscitation, time to therapeutic goal temperature and length of rewarming, hemodynamic parameters and inotropic/vasopressor therapy during treatment, as well as, laboratory and imaging investigations, Glasgow Coma Score^{277, 283} and neurologic assessments, wake-up times and outcome. Data was consolidated and interpreted to yield a case series.

Paper IV

The primary endpoint was occupational exposure to sevoflurane sampled with dosimeters (SKC 575-0022, SKC Ltd, Dorset, U.K.) attached to nurses and fixed near the patient. These dosimeters were later analysed with gas chromatography (Environmental Laboratory of Occupational and Environmental Medicine, University of Gothenburg, Sweden). Additionally, we used an infrared spectrophotometer (Miran 1, ThermoFisher, Waltham, USA) to measure on-line sevoflurane concentration in the ambient air of the ICU room and in air outlets of the CONTRAfluran™ canister and the ventilator. We also used this equipment to measure on-line concentrations during certain procedures involving a disconnected breathing unit (suctioning, extubation ect.). We continuously measured end-tidal sevoflurane from the AnaConDa® sampling port as per clinical standard. Following extubation, on-line concentrations of sevoflurane were measured near patient for 50 minutes or until concentrations were below 1 ppm.

A secondary endpoint was possible adverse symptoms while caring for the patient. Nurses received a short written questionnaire regarding such symptoms after the work shift. They were asked if

they had felt just as during a corresponding workload with a patient on intravenous sedation. They were further specifically asked about having headache, feeling nausea, sevoflurane smell, dizziness, fatigue and concentration difficulties. They were able to explain any other symptoms in free text.

STATISTICS

Paper I

A power analysis yielded a required number of 47 patients in each group to detect a cardiac troponin-T difference of 0.1µg/l. The primary endpoint sampled 12 hours after arrival to the ICU was not normally distributed. Data was therefore compared with the Mann–Whitney U-test. Additionally, preoperative troponin-T values were elevated in a number of patients in both groups, which led us to perform a post hoc Mann–Whitney U-test analysis of the change between pre- and postoperative values.

The statistical analyses were performed according to “intention to treat”. Secondary outcome data were analysed with Student’s T-test for continuous parameters, with the Mann–Whitney U-test for non-normal data and Fisher’s exact test for dichotomous outcomes using SPSS (IBM SPSS 18.0 (SPSS Inc., Chicago, IL)).

Paper II

Based on wake-up data from a previous trial, we calculated a power of 90% at a 5% significance level for a 5-minute difference in the time to extubation from sedative drug stop. Data was analysed per protocol in order to best describe the drug efficacy. Wake-up data was not normally distributed. Primary and secondary endpoints were analysed with Mann–Whitney U-test and continuous (normally distributed) parameters were analysed with Student’s T-test and binominal data with Fisher’s exact test using SPSS (IBM SPSS 18.0 (SPSS Inc., Chicago, IL)).

Paper III

Case series with descriptive data.

Paper IV

Mann–Whitney U-test was applied on the primary endpoint: nurses level of exposure to sevoflurane.

Fisher’s exact test was applied on the secondary outcome from the questionnaire, with two columns of symptoms versus no symptoms. SPSS was used for both analyses (IBM SPSS 18.0 (SPSS Inc., Chicago, IL)).

RESULTS

Paper I

There was no significant difference between groups in the primary endpoint cardiac troponin-T sampled 12 hours following arrival to the ICU ($p=0.104$) (Figure 5).

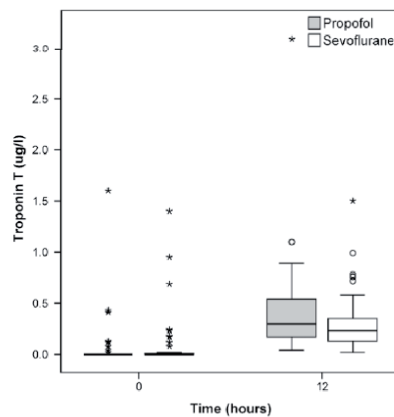


Figure 5. Boxplot of pre-operative and 12 hours post-operative cardiac troponin-T values. °, outlying point more than 1.5 box widths from edge of box, *extreme outlying point.

Eighteen patients in the sevoflurane group and sixteen patients in the propofol group had preoperatively elevated troponin-T values above $0.033\mu\text{g/l}$. The post-hoc analysis of the change from pre- to postoperative cardiac troponin-T value demonstrated a less pronounced change in the sevoflurane group ($p=0.008$). Data are presented in Table 1.

Table 1 Pre-operative and 12 hours post-operative troponin-T values ($\mu\text{g/l}$)

Pre-operative and 12h post-operative cTnT values ($\mu\text{g/l}$) in propofol and sevoflurane groups				
Data	Propofol (n = 50)		Sevoflurane (n = 50)	
	Preop	12 h	Preop	12 h
Minimum	0.00	0.040	0.00	0.020
10th percentile	0.00	0.101	0.00	0.081
25th percentile	0.00	0.165	0.00	0.130
Median	0.00	0.295	0.00	0.230
75th percentile	0.05	0.545	0.01	0.370
90th percentile	0.116	0.796	0.225	0.706
Maximum	1.600	3.100	1.400	1.500

cTnT = cardiac troponin-T

Hemodynamic parameters during the first 12 hours were similar in both groups.

Adverse cardiac events - 25 events in the sevoflurane group and 29 events in the propofol group - were also similar in both groups ($p=0.55$).

There were no differences in secondary biochemical endpoint as CRP, AST, ALT, NT-ProBNP, creatinine and cystatin-C.

Sevoflurane sedated patient were treated for an average of 176 minutes with mean end-tidal concentration of 0.8%, while propofol-sedated patients were treated for an average 221 minutes with a mean dose of 2 mg/kg/hour the propofol groups ($p=0.03$).

Paper II

The time from sedative drug stop to extubation was shorter in the sevoflurane group, median time 10 minutes (interquartile (IQR) 10 minutes / range 100 minutes) versus 25 minutes (IQR 21 minutes / range 240 minutes) in the propofol group (see Figure 6) ($p=0.001$). The mean end-tidal sevoflurane concentration was 0.8 with a standard deviation of 0.18. Mean propofol infusion rate was 2 mg/hour/kg with a standard deviation of 0.7.

Due to a misinterpretation of the study protocol, one patient in the sevoflurane group did not have the AnaConDa® removed, but only the sevoflurane infusion stopped, leading to rebreathing of sevoflurane and slower washout of sevoflurane. This patient represents the utmost outlier in the sevoflurane group. The outlier in the propofol group had a BMI of 38.

Following extubation, sevoflurane-sedated patients were able to follow verbal command earlier than propofol-sedated patients ($p=0.036$) (see Figure 7).

“Minor adverse events” as severe pain following extubation, nausea, agitation etc. were similar in both groups. Outcome from the ICU Memory Tool were also similar in groups as was the length of the ICU/hospital stay and the rate of re-admission to the ICU.

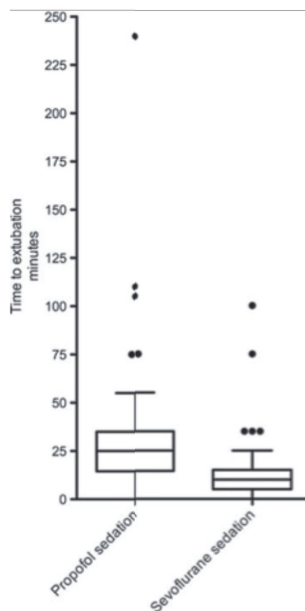


Figure 6 Time to extubation from drug stop

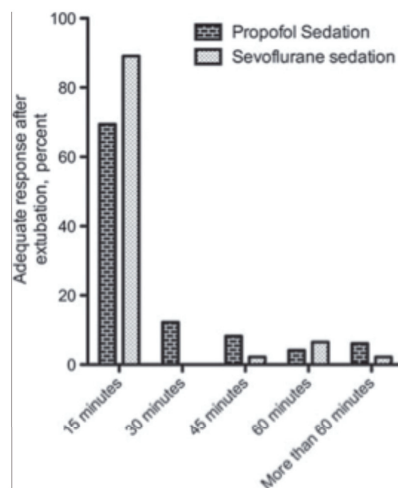


Figure 7 Time to follow verbal command

Paper III

Data from this patient series are presented in Table 2. We found that the early Glasgow Coma Scale assessment within 24 hours from rewarming was consistent with repeated assessment following 72 hours. Six patients survived and were later discharged to home with a good outcome. Six patients with poor outcome died within a week.

Table 2 Time to Return of Spontaneous Circulation and Hospital Clinical Outcome Data

Variables	Patients											
	1	2	3	4	5	6	7	8	9	10	11	12
Return of spontaneous circulation, min	22	13	10	31	15	15	5	43	8	28	12	12
GCS at emergency department, score	3	3	3	3	4	4	4	3	3	3	3	4
Isoflurane, hr	41	15	28	42	23	10	35	57	29	35	26	28
GCS \leq 24 hr after rewarming, score	4	3	14	3	5	7	14	11	10	6	14	15
GCS \geq 72 hr after rewarming, score	4	5	14	3	5	7	15	11	14	6	15	15
Extubated at first wake-up test	No	No	Yes	No	No	No	Yes	No	No	No	Yes	Yes
Cardiac troponin-T peak, $\mu\text{g/L}$	0.37	2.8	0.24	0.37	2.66	8.09	2.51	0.82	0.24	0.12	1.47	0.30
Echo in ICU, ejection fraction %	50	10	30	10	20	30	30	45	25	45	45	40
Cystatin-C at arrival	0.54	1.36	1.01	0.74	0.87	2.04	0.68	0.82	0.6	0.69	1.02	0.92
Cystatin-C after treatment*	0.79	2.12	–	0.96	1.32	3.07	0.92	1.07	1.07	0.76	0.92	1.28
Neuron-specific enolase peak, $\mu\text{g/L}$	66	11	15	175	29	17	13	16	19	38	21	–
S100B, $\mu\text{g/L}$	0.11	–	0.11	0.29	0.26	0.11	0.06	0.13	–	0.1	0.07	–
Electroencephalogram with seizures	Yes	No	–	No	No	Yes	No	No	No	Yes	No	–
Somatosensory evoked potential test abnormal	Yes	No	–	Yes	–	–	–	–	–	No	–	–
CT with anoxic brain injury	Yes	No	–	Yes	No	Yes	–	–	No	Yes	No	–
Cerebral performing categories 6 mo survivors, score			2				1	1	2		1	1

GCS = Glasgow Coma Scale, S100B = a calcium-binding protein in the CNS.
 *Sampled on day 3.
 Note—Dash (–) indicates data unavailable.

Paper IV

The primary endpoint, nurses' exposure to sevoflurane was lower in the group with scavenging, median exposure was below the detection limit for the method of analysis in this group: ≤ 0.67 ppm (range $\leq 0.50-0.77$) compared to without scavenging 2.08 ppm (range 1.83-2.81) (see Table 3) ($p=0.008$).

Table 3 Measurements of sevoflurane concentrations from dosimeter sampling.

No scavenging						
Patient	1	2	3	4	5	Median
Sampling time, min	140	128	127	237	123	128
Nurses, ppm	2.81	2.08	1.34	2.44	1.83	2.08 [†]
Near patient, ppm	3.06	2.20	2.57	3.18	2.44	2.57 [†]

With scavenging						
CONTRAfluran™						
Patient	6	7	8	9	10	Median
Sampling time, min	127	145	178	197	130	145
Nurses, ppm	0.77*	0.67*	0.55*	0.5*	0.75*	0.67
Near patient, ppm	0.77*	0.67*	0.55*	0.5*	0.75*	0.71

* = Values below the detection limit for the method of analysis. † = p-value 0.008.
 The differences in detection limit are dependent on the measuring time.
 min = minutes; ppm = parts per million.

On-line ambient concentrations near the patient following two hours were ≤ 1 ppm with scavenging and 4-6 ppm without. Extubation resulted in the highest peaks of sevoflurane concentration (peak range 4-10 ppm in both groups) lasting up to 30-50 minutes. Mean end-tidal sevoflurane used to provide sedation in the study was 0.71 % and values from the ventilators expiratory limb was close to 10% of the values sampled from the AnaConDa®.

Nurses' reports of adverse symptoms were a secondary endpoint. Only nurses in the non-scavenging group experienced such symptoms; four of five nurses in this group reported symptoms ($p=0.024$). Smell of sevoflurane was the most frequently reported symptom.

GENERAL DISCUSSION AND CLINICAL IMPLICATIONS

POSTCONDITIONING WITH VOLATILE ANAESTHETICS IN CARDIOTHORACIC ICU PATIENTS

The primary endpoint in study I, troponin-T at 12 hours following CABG with CPB, was not significantly reduced in sevoflurane-sedated patients compared to conventional propofol sedation ($p=0.104$) and cardiac outcome was similar in both groups.

Of the 100 patients included in study I, 34 patients had elevated troponin-T values the day before surgery, implying a recent myocardial infarction. Even though the study was randomized, the magnitude of these already elevated values probably led to greater variation in the primary endpoint and insufficient power in the study.

The post-hoc analysis of the troponin-T difference between pre- and postoperative values at 12 hours following CABG revealed a significant attenuation of postoperative troponin-T elevations in sevoflurane-sedated patients ($p=0.008$). This finding suggests that there may be postconditioning effects with sevoflurane in comparison to propofol for postoperative sedation following CABG with CPB and warrants further investigations. Following study I, three more randomized clinical trials of sevoflurane sedation versus propofol sedation following cardiac surgery have investigated postoperative troponin release. Sevoflurane sedation was reported to reduce troponin-T sampled at 12-18 hours following cardiac surgery ($p=0.02$) in a study by Steurer et al²⁸⁴ that included valve replacement and/or CABG with CPB. Another study by Soro et al²⁸⁵ could not confirm significantly decreased troponin-I at 24 hours following CABG with CPB. In the publication by Soro et al, troponin-I values in the box-whisker plot appeared attenuated in the sevoflurane group, however this was not a significant finding and the investigators did not provide p-values. Orriach et al²⁸⁶ reported reduced troponin-I in sevoflurane-treated patients at 24 hours after off-pump CABG ($p<0.05$). This study applied multiple samplings up to 48 hours after surgery. There are some differences in these cited studies. Steurer only used propofol anaesthesia during surgery and compared troponin-T values following four hours of sedation with sevoflurane or propofol, which is a longer period of sedation than was used in our study. Orriach employed similar minimum length of sedation as Steurer and included three groups: propofol throughout surgery and sedation, and sevoflurane throughout surgery followed by either propofol or sevoflurane sedation. Groups that included sevoflurane in anaesthesia or sedation had significantly reduced postoperative troponin-I release compared to propofol throughout surgery and sedation. Soro compared two groups, either propofol or sevoflurane used throughout surgery and during four hours of postoperative sedation. During CPB Soro used midazolam when required in the sevoflurane group. Patients with preoperative troponin-I values above 0.5 ng/ml were excluded in this study and statistics did not compare the difference from baseline. Steurer did not include patients with preoperative myocardial infarctions and randomisation was stratified for the type of cardiac surgery. Soro reported her study as double-blinded, still it is difficult to conduct a successful blinding of sevoflurane sedation in the ICU. Our and other cited studies were non-blinded in the ICU, but surgeons and anaesthesiologists participating in the surgery were unaware of the allocated treatment. 35

The cited studies in this field suggest that patients receiving intravenous anaesthesia may benefit most from sevoflurane sedation following cardiac surgery to accomplish myocardial protection. Sevoflurane anaesthesia during CABG with CPB is recognized to reduce postoperative troponin release^{118, 119}, but additional effects by further postconditioning appear to exist in the study by Orriach and are also suggested in our post-hoc analysis. Patients with preoperative myocardial infarction represent a risk group²⁸⁷ that may possibly have greater myocardial protection with sevoflurane provided for anaesthesia and sedation in conjunction to CABG with CPB. These patients were enrolled in our study and excluded in the study by Soro. In the later study midazolam was used during CPB while we used propofol. It is further possible that longer sedation than was used in study I could improve protection. The optimal dose and protocol for sevoflurane postconditioning is still unknown, as well as the clinical implications. Nevertheless, postoperative troponin release following cardiac surgery appears to predict outcome in larger studies⁵⁰⁻⁵⁴. Myocardial protection has been described in animal studies when ischemic^{67, 70} and anaesthetic^{106, 146} postconditioning was initiated in the very first minutes of the reperfusion period, with sevoflurane provided for merely three to fifteen minutes. It has recently been demonstrated that ischemic postconditioning with a 30 minute delay still can achieve comparable myocardial protection²⁸⁸ as described when the intervention was provided in the first minutes of reperfusion⁶⁷. Experimental studies of anaesthetic pre- and postconditioning indicate that mechanisms resemble those reported in ischemic pre- and postconditioning to a large extent. Several pathways of known protective mechanisms may be involved in anaesthetic postconditioning. For instance, sevoflurane has experimentally been demonstrated to interact with the mitochondrial ATP-sensitive K⁺ channel^{98, 106} and the mitochondrial permeability transition pore^{107, 108}, initiate synthesis of protective proteins¹¹², reduce apoptosis¹⁰⁹, and mitigate the inflammatory response from neutrophils during reperfusion^{25, 111-113}. Sedative doses of inhaled sevoflurane affect endothelial function and decrease leukocyte adhesion following forearm ischemia in human volunteers²⁸⁹. Pre-clinical studies of anaesthetic postconditioning in rodents suggested higher doses to achieve effects, but there was no clear dose-response relationship and duration of treatment was only 15 minutes²⁹⁰.

Postconditioning with volatile anaesthetics during inhaled sedation in the ICU could be an appealing concept of protection against myocardial ischemia and reperfusion injury and this could be advantageous following cardiac surgery and in many more situations. Larger studies of post-ischemic sedation with sevoflurane are warranted to investigate different protocols, the implication of delayed treatment, mechanisms and whether the potential reduction of troponin release in humans may also affect clinically relevant endpoints.

RECOVERY FOLLOWING SHORT-TERM SEDATION WITH VOLATILE ANAESTHETICS IN ICU PATIENTS

The shorter time to extubation in sevoflurane-sedated patients and the earlier response to verbal demand described in Study II suggests more predictable wake-up time and earlier cognitive recovery than following propofol sedation.

Clinical practise is moving towards shorter postoperative sedation following cardiac surgery²⁹¹. Previous studies of iso urane sedation in ICU patients described shorter time from iso urane drug stop to extubation (extubation time) than after midazolam sedation^{174, 184}. Average extubation time in

a study of long-term sedation by Sackey et al¹⁸⁴ was 10 minutes after isoflurane sedation compared to 252 minutes following midazolam sedation. The extubation times following sevoflurane sedation in study II were very similar to the times observed after isoflurane by Sackey et al. From studies of emergence from anaesthesia, extubation time appeared shorter following sevoflurane compared to isoflurane anaesthesia²⁹², which is in accordance to its pharmacological properties of lower solubility in blood. In addition to the above discussed studies of sevoflurane sedation investigating myocardial protection, two more prospective randomized trials of sevoflurane sedation in comparison to intravenous sedation in adult ICU patients have investigated extubation times and ICU stays during the period of thesis^{189, 257}. Extubation times in these studies are in agreement with our findings. Extubation times following long-term propofol and midazolam sedation in general ICU patients were more than four respectively thirteen times longer than following corresponding sevoflurane sedation in a study by Mesnil et al²⁵⁷. Extubation time in short-term sedation following CABG was up to seven times longer following propofol sedation compared to following sevoflurane sedation in a study by Röhm¹⁸⁹. Extubation times in our and these studies also demonstrated narrower standard deviations and/or range, contributing to fairly predictable extubation times, where end-tidal sevoflurane measurements may have been of clinical help to forecast near extubation. Following verbal demand after extubation can be regarded as a rough estimate of cognitive function and there may be more advanced methods that could have been applied in study II. With this stated, we found a difference between groups with this simple measure that favoured sevoflurane-sedated patients in responding earlier to verbal demand.

Not all aspects of earlier awakening were investigated in study II. It may be that more predictable and shorter extubation times could facilitate planning and utilization of intensive care recourses. Patients may further collaborate better in the immediate recovery period following extubation, which could be of importance to improve communication, mobilize mucus, protect airways and facilitate cooperation in non-invasive ventilator therapy. Early physiotherapy in ICU patients has been demonstrated to improve outcome²⁹³. Theoretically, longer-term sedated patients with impaired organ function suffering accumulation of intravenous sedative drugs may be weaned from these drugs ahead of planned extubation, during sevoflurane sedation and this proposed regimen might permit faster recovery and this would be interesting to investigate.

Light versus deep sedation following cardiac surgery does not appear to impact cardiac outcome²⁹⁴. However, avoiding deep sedation and maintaining clear memories following extubation may be important in preventing psychological morbidity²⁵⁰. Long-term sedation with volatile anaesthetics appears to generate less delusional memories following extubation^{257, 258}. There were no differences in ICU memories compared to propofol sedation after our short-term sedation in study II. We did not screen for delirium with validated methods, which would have been of interest in this setting.

There is fairly good evidence of preserved renal integrity and acceptable fluoride-ion concentrations in short-term sedation with sevoflurane²⁹⁵. Fluoride-ion concentrations were followed for up to four days by Mesnil et al²⁵⁷ without differences in renal function or reaching toxic fluoride-ion concentrations compared to propofol and midazolam sedation²⁵⁷. Larger studies are needed in long-term sedation and in patients with impaired renal function. Recovery from intravenous sedation is clinically known to be longer-lasting in the latter patient population²⁹⁶. In the studies by Röhm and Mesnil, ICU stays were shorter in sevoflurane-sedated patients compared to propofol or midazolam sedation^{189, 257}. The protocol applied by Röhm following CABG lead to mechanical ventilation lasting an average of eight hours compared to three hours in Study II. While one could

speculate about such an advantage for longer-term sedated patients, we could not confirm shorter ICU or hospital stay in our short-term sevoflurane sedation following CABG.

Volatile anaesthetics appear to be a safe option for short-term sedation and this regimen is also recommended by the German Intensive Care guidelines as one option of short-term treatment²⁹⁷. More studies are warranted in long-term sedation to investigate clinical implications, the incidence of ICU delirium following sedation, renal function and concerns of fluoride-ion generation.

SEDATION FOLLOWING CARDIAC ARREST

Short-term sedation with volatile anaesthetics during therapeutic hypothermia following cardiac arrest seems to be a feasible regimen of short-term sedation. In this setting, volatile anaesthetics may eventually reduce lingering sedation following cessation compared to intravenous sedation.

Myocardial protection by postconditioning with sevoflurane may be more potent in sevoflurane-naïve patients as discussed earlier. Meybohm et al²⁹⁸ reported improved myocardial outcome in an experimental pig study with sevoflurane sedation provided during four hours after resuscitation of electrically induced ventricular fibrillation compared to when propofol was used. Improved myocardial contractility with sevoflurane postconditioning has further been reported in a similar research model in rodents compared to untreated controls²⁹⁹. Similar experimental studies in pigs as reported by Meybohm have been conducted to investigate brain protective effects with isoflurane³⁰⁰ and xenon³⁰¹ with positive results. However, results in another similar study in pigs with isoflurane or xenon did not accomplish a significant effect³⁰². Experimental studies of brain protection in rodents with volatile anaesthetic postconditioning have demonstrated improved neurologic outcome in comparison to no treatment^{129, 303, 304}. The previous discussion of study II suggests that emergence from sedation following sevoflurane is faster and that this finding may lead to shorter ICU stays. Hypothermia is well known to reduce metabolism of intravenous sedative drugs²⁶⁸⁻²⁷². Prolonged sedation can contribute to confounding at early neurologic assessment^{266, 267}. These rationales merited off-label isoflurane sedation in the general ICU. This regimen is also used in German centres and has been presented in an abstract during a German medical conference in Berlin³⁰⁵, but there are still no case reports or journal publications of this treatment. We found it important to publish a case series of treated patients to attract attention and invite to discussion of conducting research in this patient group. Current legislation in the European Community requires informed consent from legal guardians of unconscious patients to be enrolled in clinical drug trials and obtaining consent to perform trials from relatives is not legal in this context³⁰⁶⁻³⁰⁹. This is a practical obstacle for us to conduct a clinical drug trial in this setting. This legislation may be revised during 2014-2015.

While the sedation was feasible in study III, no definite conclusions regarding myocardial protection or neurological outcome can be drawn from this study. There may eventually be less confounding from residual sedation during early Glasgow Coma Scale scoring, but this observation stands unchallenged as patients were few and heterogeneous and we did not have a control group. Clinical investigations in the retrospective study III were not standardized with a prospective protocol. However, patients with high Glasgow Coma Scale scores were quickly extubated in study III, which is in agreement with the short wake-up times found in Study II. Two isoflurane-sedated patients in study III with high Glasgow Coma Scale scores were only further sedated because of respiratory reasons.

Early assessments in study III with Glasgow Coma Scale scoring were fairly consistent with repeated assessments following 72 hours, but it is possible that recovery processes of brain ischemia may contribute to improve Glasgow Coma Scale scores over time.

Sedation with volatile anaesthetics could be an asset in patients where neurological assessment needs to be performed during temporary sedation stop. This regimen may lead to less confounding from residual sedation. Parallel with the thesis, isoflurane sedation has been demonstrated to be feasible results in the neurosurgical ICU¹⁸⁶. Investigators in this study recommended intra-cranial pressure monitoring in surgical patients, although only small and clinically insignificant differences were found in intra-cranial pressure compared to propofol-sedated patients.

Short-term sevoflurane sedation during therapeutic hypothermia following cardiac arrest is an appealing regimen of sedation that deserves to be investigated prospectively in larger randomized studies to compare wake-up times, findings in neurological assessment, neurophysiological investigations and potential differences in clinical outcome.

PASSIVE SCAVENGING OF VOLATILE ANAESTHETICS IN THE ICU

Study IV demonstrated reduced occupational exposures to sevoflurane with passive CONTRAfluranTM scavenging during its use for sedation in a moderately ventilated ICU room, compared to no scavenging from expired breathing air outlets. Occupational exposures were similar to reports of active suction scavenging to hospital gas waste system.

It has been demonstrated that active suction scavenging to hospital gas waste system provided minimal occupational exposure to isoflurane during its use for ICU sedation²¹². There are also reports^{212, 213} of sedation with volatile anaesthetics without scavenging with exposure levels complying with Swedish OEL²¹¹. Nevertheless, the goal must be to reduce occupational exposure to a minimum and scavenging is strongly recommended even in a highly ventilated room²⁰⁹. Active suction scavenging to hospital gas waste system has been investigated during sevoflurane sedation in the ICU with a few dosimeter samplings and is reported to provide minimal occupational exposure^{187, 257}. Prior to the thesis, there were no reports of passive scavenging with adsorbing gas filters, such as the commercially available CONTRAfluranTM, with filtered air released in the ICU room.

Study III demonstrated low occupational exposures with passive CONTRAfluranTM scavenging as was earlier described in studies of active suction scavenging to hospital gas waste system. The system of passive scavenging is mobile and does not depend on active suction systems, which may be an advantage when these system fail or are not available, but also during transport and during interventions or diagnostic procedures outside the ICU. Study IV further demonstrated that a closed tracheal suction system with passive scavenging of air from suction air outlet could be used with minimal sevoflurane contamination in ambient air. This regimen could be considered in longer-term sedation or when tracheal suctioning is frequently needed. Extubation was the procedure in study IV that contaminated ambient air most and it lasted for up to around half an hour. Despite the observation that on-line values following extubation resulted in values below Swedish OEL, better ventilation or local exhaust ventilation could be considered in this period.

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It was not the aim to investigate psychomotor effects among staff in depth in the non-blinded Study IV. Yet, the secondary finding that only nurses in the non-scavenging group reported adverse symptoms in our non-validated questionnaire is of some interest in this non-blinded study. Smell of sevoflurane was the most frequently reported symptom.

Larger blinded studies could consider investigating the latter more in depth, compare different passive scavenging systems and whether local exhaust ventilation is of any value following extubation.

CONCLUSIONS

1. There were no significant differences in the levels of cardiac troponin-T release 12 hours following CABG between short-term sedation with sevoflurane and propofol. In a post hoc analysis of the change between pre- and postoperative troponin-T release, the increase of troponin-T was less pronounced in the sevoflurane group. The incidence of cardiac adverse events was similar in both groups.
2. Wake-up times were shorter following short-term sevoflurane sedation compared to propofol and patients were able to follow verbal command earlier. The sedation regimen did not affect memories or outcome in terms of ICU or hospital length of stay.
3. Isoflurane short-term sedation may be a feasible option during therapeutic hypothermia and may possibly reduce confounding from residual sedation at early neurologic assessment
4. Scavenging with an adsorbing canister (CONTRAFuran™) with filtered air released in a moderately ventilated ICU room provided significantly less occupational exposure to sevoflurane compared to no scavenging and adverse symptoms among nurses were only reported in the latter group.

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ABSTRACT

Volatile anaesthetics have been reported to provide protection against myocardial ischemia and reperfusion injury. This effect has been demonstrated in experimental studies when the volatile anaesthetics were provided either before (preconditioning) or after the ischemic period (postconditioning). Clinical trials of volatile anaesthetics versus intravenous anaesthesia for coronary artery bypass grafting (CABG) have reported reduced postoperative release of troponin, a biomarker of myocardial necrosis. The most pronounced reduction was achieved when sevoflurane was administered throughout surgery. Hence, it could be of interest to investigate whether additional myocardial protection could be achieved in cardiothoracic intensive care unit (ICU) patients following CABG by prolonging administration of volatile anaesthetics to include the period of routine postoperative sedation. The AnaConDa® is an anaesthetic conserving device that enables sedation with isoflurane or sevoflurane with common ICU ventilators. This method has been demonstrated to be feasible for sedation during mechanical ventilation in the general ICU. Isoflurane has also been used clinically to provide inhaled sedation during therapeutic hypothermia following cardiac arrest, but there are no evaluations or publications of this treatment. The AnaConDa® requires scavenging of volatile anaesthetics in expired breathing air. The use of an adsorbing canister with the filtered air released in the ICU room has not been well described.

The aim of this thesis was to investigate clinical and occupational aspects of inhaled sedation with volatile anaesthetics in cardiothoracic ICU patients.

We conducted a randomized clinical trial of short-term sevoflurane sedation versus propofol following CABG. While no significant differences were found in cardiac adverse events or troponin-T values sampled 12 hours following surgery, a reduced change from pre- to postoperative troponin-T values was demonstrated in a post-hoc analysis. Wake-up times were shorter in the sevoflurane-sedated group, but did not affect ICU or hospital stay in our short-term sedation. While sevoflurane-sedated patients were able to follow verbal command earlier, memories assessed with the validated ICU-Memory Tool were similar after sedation. In a retrospective study of isoflurane sedation during therapeutic hypothermia following cardiac arrest, it appeared that the early neurologic assessment with the Glasgow Coma Scale performed within 24 hours from reaching normal body temperature was consistent with the conventional assessment following 72 hours. We conducted an observational study of occupational exposure to sevoflurane during its use to provide sedation in the ICU and demonstrated that passive scavenging with an adsorbing canister (CONTRAfluran™) provided minimal exposure to sevoflurane compared to no scavenging. Nurses in both groups had exposures below the Swedish occupational exposure limit, but only nurses working with patients without scavenging reported adverse symptoms. Smell of sevoflurane was the most frequent adverse symptom.

Keywords: sevoflurane, sedation, myocardial protection, postconditioning, occupational exposure, therapeutic hypothermia,

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